

# Appendix A

**IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF TEXAS  
SAN ANTONIO DIVISION**

**SECURITIES AND EXCHANGE  
COMMISSION,**

**Plaintiff,**

**-against-**

**ROBERT J. MUELLER, DEEPROOT FUNDS  
LLC (a/k/a dprt Funds, LLC), AND POLICY  
SERVICES INC.,**

**Defendants,**

**-and-**

**DEEPROOT TECH LLC, DEEPROOT  
PINBALL LLC, DEEPROOT STUDIOS LLC,  
DEEPROOT SPORTS & ENTERTAINMENT  
LLC, AND DEEPROOT RE 12621 SILICON DR  
LLC,**

**Relief Defendants.**

**Civil Action No.: 5:21-cv-785-XR**

**PLAINTIFF SECURITIES AND EXCHANGE COMMISSION'S  
DESIGNATION OF POTENTIAL EXHIBITS**

<b>Ex. No.</b>	<b>Description</b>	<b>Bates Label</b>	<b>Will Offer</b>	<b>May Offer</b>	<b>Defendant Objections</b>
P-1	575 Fund PPM Sept. 1, 2015	SEC-DEEPROOT-E-0014497 - 12	X		None
P-2	575 Fund PPM Sept. 1, 2015	SEC-DEEPROOT-E-0164808 - 23	X		None
P-3	575 Fund PPM Sept. 16, 2019	SEC-DEEPROOT-E-0164824 - 41	X		None
P-4	575 Fund PPM Jan. 1, 2021	SEC-DEEPROOT-E-0211514 - 30	X		None
P-5	dGRD Fund PPM Aug. 3, 2015	SEC-DEEPROOT-E-0013399 - 416	X		None
P-6	dGRD Fund PPM Aug. 3, 2015	SEC-DEEPROOT-E-0164786 - 803	X		None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-7	dGRD Fund PPM Sept. 12, 2019	SEC-DEEPROOT-E-0164762 - 780	X		None
P-8	Operating Agreement of 575 Fund, Sept. 1, 2015	MUELLER 001067 - 88	X		None
P-9	Operating Agreement of 575 Fund, Feb. 26, 2018	DEEPROOT-E-0019790	X		None
P-10	Operating Agreement of dGRD Fund, Aug. 3, 2015	MUELLER 001045-66	X		None
P-11	Operating Agreement of dGRD Fund, Feb. 26, 2018	SEC-DEEPROOT-E-0005760	X		None
P-12	Gray Application and Subscription to 575 Fund	DEEPROOT FUNDS 002274 - 80	X		None
P-13	Donnelly Application and Subscription to 575 Fund	DEEPROOT FUNDS 001926 - 33	X		None
P-14	Welcome Letter to Robert & Michelle Kane and attachments Dec. 19, 2017	SEC-KaneR-E-E-0000015-16, 20-21	X		None
P-15	Thompson Application and Subscription to 575 Fund	DEEPROOT FUNDS 006943 - 51	X		None
P-16	deeprout Organizational Charts	DEEPROOT FUNDS 006214 - 6	X		None
P-17	Investment Allocation Agreement	SEC-DEEPROOT-E-0213963 - 66	X		None
P-18	Contingent Pledge and Security Agreement	SEC-DEEPROOT-E-0213967 - 79		X	None
P-19	Expert Report of Bill Post Mar. 17, 2023		X		Hearsay; improper legal opinions that invade the province of the Court; Rule 403; Rule 702
P-20	Supplemental Expert Report of Bill Post Apr. 27, 2023		X		Hearsay; improper legal opinions that invade the province of the Court; Rule 403; Rule 702

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-21	Order Authorizing and Approving the Sale of Certain Personal Property Free and Clear of All Interests Pursuant to 11 U.S.C. §§ 363(b) and (f), in the matter of <i>In re deeproot Capital Management, LLC</i> (Bkr. No. 21-51523-MMP), <i>et al.</i> , and <i>In re Policy Services, Inc.</i> (Bkr. No. 21-51513-MMP), in the United States Bankruptcy Court for the Western District of Texas.		X		Rule 403
P-22	Summary: List of Bank Accounts and Credit Cards		X		Rule 403 - Incomplete
P-23	Summary: Allowable Spend Compared to Actual Spend		X		Rule 403; Assumes facts not in evidence; lack of foundation
P-24	Summary: Fractionalized Shares of Policies Sold to Outside Investors		X		Rule 403; Assumes facts not in evidence; lack of foundation; also includes hearsay
P-25	Summary: Maturity Distribution for Policies with Fractionalized Shares Sold to Prior Investors		X		Rule 403; Assumes facts not in evidence; lack of foundation; also includes hearsay
P-26	Verma Declaration Oct. 6, 2021			X	Hearsay; Rule 701; lack of foundation; speculation; conclusory; Rule 403

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-27	Verma Declaration Aug. 17, 2023			X	Hearsay; Rule 701; lack of foundation; speculation; conclusory; Rule 403
P-28	Complaint, Dkt. 001			X	Hearsay
P-29	Answer, Dkt. 043			X	Hearsay
P-30	Mueller Responses and Objections to SEC's First Set of Interrogatories Aug. 19, 2022		X		Mueller objects to the extent that the SEC relies on any answers that included objections as the SEC has not received rulings resolving such objections
P-31	Mueller Responses and Objections to SEC's First and Second Set of Requests for Admissions Dec. 12, 2022		X		Mueller objects to the extent that the SEC relies on any answers that included objections as the SEC has not received rulings resolving such objections
P-32	Mueller Sworn Accounting	SEC-RJM-E-0000015 - 727	X		None
P-33	Hulings letter to SEC Apr. 6, 2023			X	None
P-34	deeproot Pinball Disclosure	DEEPROOT FUNDS 005379 - 83		X	None
P-35	deeproot Funds Commercial Real Estate Allocation Disclosure	DEEPROOT FUNDS_005384 - 90		X	None
P-36	deeproot Sports & Entertainment Disclosure	DEEPROOT FUNDS 005391 - 99		X	None
P-37	Portfolio Overview	DEEPROOT FUNDS 005609 - 12		X	Rule 403
P-38	Assets Backing Up Investments Apr. 1, 2019	DEEPROOT FUNDS 005625	X		Rule 403

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-39	As simple as 123	DEEPROOT FUNDS 005627		X	None
P-40	deeprout Conference Materials - 2 Feb. 20, 2020	DEEPROOT FUNDS 005636 - 37		X	None
P-41	The deeprout Story	DEEPROOT FUNDS 005639 - 40		X	None
P-42	2017 Training Webinar	DEEPROOT FUNDS 005641 - 53		X	None
P-43	Letter to Finders Sept. 12, 2019	DEEPROOT FUNDS 005654 - 55		X	None
P-44	deeprout Miscellaneous Presentations	DEEPROOT FUNDS 005660 - 707		X	None
P-45	deeprout Kauai January 2020 Conference Deck Feb. 20, 2020	DEEPROOT FUNDS_005677 - 83		X	None
P-46	deeprout Strengths & Track Record	DEEPROOT FUNDS 005708		X	None
P-47	Summer 2017 Updates	DEEPROOT FUNDS 005709 - 12		X	None
P-48	deeprout Presentation Rev. 2020-CB-3A	DEEPROOT FUNDS 005713 - 39	X		None
P-49	Mueller email to Concilla and Federico re deeprout GROWTH RUNS DEEP FUND, LLC Jan. 8, 2015	MUELLER 001441 - 1553	X		None
P-50	Texas Certificate of Filing dGRD Fund Jan. 8, 2015	MUELLER 001530		X	None
P-51	Mueller email to Concilla and Federico re deeprout 575 FUND, LLC Jan. 8, 2015	MUELLER 001554 - 1664	X		None
P-52	Texas Certificate of Filing 575 Fund LLC Jan. 8, 2015	MUELLER 001665		X	None
P-53	Management Discussion and Analysis of Financial Condition Feb. 10, 2015	MUELLER 001774 - 84		X	Rule 403
P-54	Mueller email to Federico and Concilla re Pinball Update May 12, 2015	MUELLER 002186 -99		X	None
P-55	Mueller email to Concilla May 20, 2015	MUELLER 002254 - 56		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-56	Concilla email to Mueller re deeproot Incentives July 29, 2015	MUELLER 002306 - 09	X		None
P-57	Mueller email to Concilla re deeproot dGRD fund Aug. 5, 2015	MUELLER 002404		X	None
P-58	dGRD Fund PPM with tracked changes Aug. 10, 2015	MUELLER 002472 - 90		X	None
P-59	Mueller email to Concilla re dGRD Aug. 12, 2015	MUELLER 002585 - 89	X		None
P-60	Concilla email to Mueller re dGRD - PPM Aug. 12, 2015	MUELLER 002649 - 67	X		None
P-61	Mueller email to Concilla re dGRD Aug. 12, 2015	MUELLER 002688	X		None
P-62	Mueller email to Concilla re dGRD Aug. 18, 2018	MUELLER 002705 - 06	X		None
P-63	Concilla email to Mueller re deeproot Funds Aug. 21, 2019	MUELLER 002715 - 17	X		None
P-64	Concilla email to Mueller re deeproot Sept. 1, 2015	MUELLER 002813 - 14	X		None
P-65	Mueller email to Concilla re Investor Presentation Sept. 24, 2015	MUELLER 002815		X	None
P-66	deeproot Investor Presentation	MUELLER 002816 - 51	X		None
P-67	Concilla email to Mueller re Investor Presentation Sept. 24, 2015	MUELLER 002853	X		None
P-68	Andrew email to Mueller re Fund Draft July 24, 2015	CYCL001596 - 97		X	None
P-69	Mueller email to Andrew re Confidential - Policy Valuations Mar. 20, 2017	CYCL003061 - 62		X	None
P-70	Mueller email to Andrew and Regan re Oct, Nov, Dec Oct. 25, 2017	CYCL003627 - 28		X	None
P-71	Mueller email to Andrew re report July 5, 2018	CYCL004402 - 11		X	None
P-72	Andrew email to Mueller re Follow-up Apr. 1, 2018	CYCL006055 - 56		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-73	Mueller email to Andrew re deeproot Apr. 5, 2019	CYCL006121 - 22		X	None
P-74	Intentionally Omitted				None
P-75	Intentionally Omitted				None
P-76	Mueller email to Richardson re Donnelly June 3, 2020	JR000017 - 22		X	None
P-77	575 Trifold Brochure	JR000140		X	None
P-78	Spradlin email to Richardson re deeproot Sept. 5, 2018	JR000249 - 78		X	Rule 403; Hearsay
P-79	Richardson email to Spradlin re deeproot Sept. 26, 2018	JR000344 - 47		X	Rule 403; Hearsay
P-80	Spradlin email to Thompson re deeproot Sept. 27, 2018	JR000387 - 389		X	Rule 403; Hearsay
P-81	Richardson email to Spradlin re DeepRoot Account Mar. 12, 2020	JR000816 - 24		X	Rule 403; Hearsay
P-82	Lux Catering & Events Invoice	MLE 0141 - 45	X		None
P-83	Hagan email to Concilla, Federico and Mueller re BonusGrowth 5 Year Fund PPM Feb. 19, 2014	MUELLER-CPM 000035	X		None
P-84	Concilla email Mueller and Federico re 5 year debenture docs Feb. 20, 2014	MUELLER-CPM 000063 - 88	X		None
P-85	Concilla email to Mueller, Federico, Smith re Reg D and unqualified funds Mar. 18, 2014	MUELLER-CPM 000177 - 79	X		None
P-86	Concilla email to Mueller re the 575 Jan. 15, 2015	MUELLER-CPM 001402	X		None
P-87	Mueller email to Concilla and Federico re GRD/575 Internal Fund hypotheticals Jan. 20, 2015	MUELLER-CPM 001405	X		None
P-88	Federico email to Concilla re Catch up Feb. 5, 2015	MUELLER-CPM 001428 - 76	X		None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-89	Mueller email to Concilla re deeproot 3 Year Trending Tech Debenture Fund, LLC filings Mar. 27, 2015	MUELLER-CPM 001545 - 46	X		None
P-90	Mueller email to Concilla and Federico re 3 year tech fund Apr. 2, 2015	MUELLER-CPM 001547 - 61	X		None
P-91	Mueller email to Concilla and Federico re 3 year tech fund Apr. 7, 2015	MUELLER-CPM 001562 - 63	X		None
P-92	Mueller email to Concilla re Requested call July 22, 2015	MUELLER-CPM 001703		X	None
P-93	Mueller email to Concilla and Federico re Term Sheet - Draft v1.1 July 23, 2015	MUELLER-CPM 001706 - 10	X		None
P-94	Mueller email to Concilla re What's a good time today to conference? Aug. 4, 2015	MUELLER-CPM 001772 - 90	X		None
P-95	Mueller email to Concilla re Are you OK? Sept. 2, 2015	MUELLER-CPM 002187		X	None
P-96	Mueller email to Concilla re Catch up Dec. 11, 2015	MUELLER-CPM 002237 - 39	X		None
P-97	Federico email to Mueller and Concilla re Form D Amendment Filing for the dGRD Aug. 9, 2016	MUELLER-CPM 002351	X		None
P-98	Spradlin Memo May 27, 2020	MUELLER-CPM 002857 - 58	X		None
P-99	deeproot Investor Presentation Sept. 24, 2015	MUELLER-CPM 004398 - 432	X		None
P-100	Trifold Draft with Markup Mar. 10, 2023	MUELLER-CPM 006165 - 69		X	None
P-101	Mueller Background Questionnaire	SEC_RJM-E-0000001 - 14		X	Rule 403
P-102	Abramson Disengagement Letter July 7, 2016	SEC-AAC-E-0000226	X		
P-103	Ownership Transfer Agreement	SEC-AAC-E-0000227 - 40		X	None
P-104	Slack Message from Scott Allen	SA-14-15	X		None
P-105	Allen Letter to Mueller Oct. 7, 2020	SEC-AllenS-E-000081	X		None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-106	Allen Memorandum to Mueller re Resignation Oct. 7, 2020	SEC-AllenS-E-000079-80	X		Hearsay; Rule 802; Rules 403; improper lay opinion; lack of foundation; calls for a legal conclusion
P-107	deeproot August Bonus Agreement Sept. 9, 2019	SEC-AllenS-E-000037	X		None
P-108	Scott Allen Memo May 27, 2020	SEC-AllenS-E-000046-51	X		None
P-109	Big Picture Discussion List	SEC-AllenS-E-000009-14	X		None
P-110	Receipt: Americus Diamond Dec. 14, 2015	SEC-AMERICUS-E-0000005	X		None
P-111	Receipt: Americus Diamond Dec. 15, 2018	SEC-AMERICUS-E-0000012	X		None
P-112	Cooper email to deeproot re August 22, 2020 Letter Sept. 4, 2020	SEC-DEEPROOT-E-0004997 - 99		X	None
P-113	Muller letter to 575P Investors Sept. 30, 2020	SEC-DEEPROOT-E-0008354	X		None
P-114	Quick FAQ	SEC-DEEPROOT-E-0011222	X		None
P-115	deeproot email re deeproot Pinball Launch Date & Incentive Aug. 27, 2020	SEC-DEEPROOT-E-0013075 - 77	X		None
P-116	Portfolio Narrative Cover email Mar. 5, 2020	SEC-DEEPROOT-E-0013221	X		None
P-117	deeproot Family of Companies Investment Portfolio Narrative 2020.B2	SEC-DEEPROOT-E-0013223 - 36	X		None
P-118	Gibbs Disclosure Dec. 1, 2015	SEC-DEEPROOT-E-0016060		X	None
P-119	Mueller letter to Kirkman July 9, 2019	SEC-DEEPROOT-E-0017557		X	None
P-120	Mueller email to Dandridge re deeproot Funds November Updates Nov. 10, 2020	SEC-DEEPROOT-E-0025419	X		None
P-121	Fact Right Report, 575 Fund October 15, 2019	SEC-DEEPROOT-E-0031095 - 121		X	Best Evidence is FR1001 - 1003

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-122	Cary Mueller email to Mueller re proxy Feb. 2, 2017	SEC-DEEPROOT-E-0037279 - 82		X	None
P-123	the 575 Allocation Acknowledgement	SEC-DEEPROOT-E-0061786 - 87	X		None
P-124	575 Fund Client Letter Sept. 30, 2020	SEC-DEEPROOT-E-0008354	X		None
P-125	Mueller email to Andrew re Policies Apr. 2, 2019	SEC-DEEPROOT-E-0088710 - 11	X		None
P-126	Presentations and Materials Dec. 29, 2020	SEC-DEEPROOT-E-0093397 - 99	X		None
P-127	Premier Global Corp. Factoring Service Agreement	SEC-DEEPROOT-E-0186463-71		X	Rule 403
P-128	Abramson email to Mueller re PS Inc / deeproot 2015 Jan. 11, 2016	SEC-DEEPROOT-E-0187630 - 32		X	None
P-129	Abramson email to Mueller re Update / Questions Apr. 5, 2016	SEC-DEEPROOT-E-0209999 - 10003		X	None
P-130	Abramson email to Mueller re Update / Questions Apr. 5, 2016	SEC-DEEPROOT-E-0210005 - 10		X	None
P-131	Wik email to Mueller re Updated Files Mar. 20, 2017	SEC-DEEPROOT-E-0210796 - 800	X		None
P-132	Mueller email to Turner including Presentations and Brochures Apr. 6, 2016	SEC-DEEPROOT-E-0211326 - 52		X	None
P-133	Mueller email to Wik re Updated Files Mar. 18, 2017	SEC-DEEPROOT-E-0226591		X	None
P-134	deeproot Simplified Cash Flow Forecast 2017-2022	SEC-DEEPROOT-E-0226592		X	None
P-135	Policy Valuation Mar. 2017	SEC-DEEPROOT-E-0226593		X	None
P-136	Mueller email to Regan and Andrew re Updated Presentations June 8, 2017	SEC-DEEPROOT-E-0226740 - 82	X		None
P-137	Allen email to Mueller re Deeproot Fund 575P Investment of \$133,000 by Andrew W. Cherones Oct. 6, 2020	SEC-DEEPROOT-E-0126910-13		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-138	Disney Payment History	SEC-DISNEY-E-0000177 - 79	X		None
P-139	Disney Cruise Receipts 2016	SEC-DISNEY-E-0000001 - 27	X		None
P-140	Disney Cruise Receipts 2017	SEC-DISNEY-E-0000051 - 117	X		None
P-141	Disney Cruise Receipts 2018	SEC-DISNEY-E-0000118 - 173	X		None
P-142	deeproot 575 Fund, LLC Operating Agreement	SEC-DonnellyJ-E-0000001 - 21	X		None
P-143	575 Fund PPM Sept. 1, 2019	SEC-DonnellyJ-E-00000022-37	X		None
P-144	575 Allocation Modification Disclosure	SEC-DonnellyJ-E-00000038		X	None
P-145	Donnelly 575 Fund Application & Subscription Agreement	SEC-DonnellyJ-E-00000039-46	X		None
P-146	deeproot Equity Fund Packet Welcome Sheet	SEC-DonnellyJ-E-00000062-69	X		None
P-147	Equity Fund Closing Packet	SEC-DonnellyJ-E-00000070 - 112	X		None
P-148	Sub-Sublease Agreement Mar. 2019	SEC-EMAILS-E-0011648 - 56		X	None
P-149	Tabora Gallery Receipt Jan. 12, 2017	SEC-EMAILS-E-0016479	X		None
P-150	Tabora Gallery Invoice and Receipts Jan. 12, 2017	SEC-EMAILS-E-0016515 - 16	X		None
P-151	Tabora Gallery Receipt Aug. 9, 2018	SEC-EMAILS-E-0016501 - 03	X		None
P-152	Photo from Tabora Gallery	SEC-EMAILS-E-0016473	X		None
P-153	SDV October 2020 Statement	SEC-EMAILS-E-0017226		X	None
P-154	SDV March 2021 Statement	SEC-EMAILS-E-0017232 - 33		X	None
P-155	SDV Lease	SEC-EMAILS-E-0017234 - 67		X	None
P-156	Allen Resume	SEC-EMAILS-E-0018789		X	None
P-157	deeproot Pinball Balance Sheet Dec. 31, 2015	SEC-FTI-E-0000010		X	None
P-158	deeproot Pinball Balance Sheet Dec. 31 2016	SEC-FTI-E-0000011		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-159	deeproot Pinball Balance Sheet Dec. 31, 2017	SEC-FTI-E-0000012		X	None
P-160	deeproot Pinball Balance Sheet Dec. 31, 2018	SEC-FTI-E-0000013		X	None
P-161	deeproot Pinball Balance Sheet Dec. 31, 2019	SEC-FTI-E-0000014		X	None
P-162	deeproot Pinball Balance Sheet Dec. 31 2020	SEC-FTI-E-0000015		X	None
P-163	deeproot Pinball Balance Sheet Oct 14, 2021	SEC-FTI-E-0000016		X	None
P-164	deeproot Pinball General Ledger Sept. 24 - Oct. 14, 2021	SEC-FTI-E-0000017 - 20		X	None
P-165	deeproot Pinball Profit Loss Jan. 1 - Dec. 31, 2016	SEC-FTI-E-0000021		X	None
P-166	deeproot Pinball Profit Loss Jan. 1 - Dec. 31, 2017	SEC-FTI-E-0000022		X	None
P-167	deeproot Pinball Profit Loss Jan. 1 - Dec. 31, 2018	SEC-FTI-E-0000023		X	None
P-168	deeproot Pinball Profit Loss Jan. 1 - Dec. 31, 2019	SEC-FTI-E-0000024		X	None
P-169	deeproot Pinball Profit Loss Jan. 1 - Dec. 31, 2020	SEC-FTI-E-0000025		X	None
P-170	deeproot Pinball Profit Loss Jan. 1 - Oct. 14, 2021	SEC-FTI-E-0000026		X	None
P-171	deeproot Pinball Profit Loss Sept. 24 - Dec. 31, 2015	SEC-FTI-E-0000027		X	None
P-172	deeproot Pinball Trial Balance Dec. 31, 2016	SEC-FTI-E-0000028		X	None
P-173	deeproot Pinball Trial Balance Dec. 31, 2017	SEC-FTI-E-0000029		X	None
P-174	deeproot Pinball Trial Balance Dec. 31, 2018	SEC-FTI-E-0000030		X	None
P-175	deeproot Pinball Trial Balance Dec. 31, 2019	SEC-FTI-E-0000031		X	None
P-176	deeproot Pinball Trial Balance Dec. 31, 2020	SEC-FTI-E-0000032		X	None
P-177	deeproot Pinball Trial Balance Oct. 14, 2021	SEC-FTI-E-0000033		X	None
P-178	deeproot Pinball Trial Balance Sept. 24 - Dec. 31, 2015	SEC-FTI-E-0000034		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-179	deeproot Funds Balance Sheet Dec. 31, 2018	SEC-FTI-E-0000052 - 55		X	None
P-180	deeproot Funds Balance Sheet Dec. 31, 2015	SEC-FTI-E-0000044 - 45		X	None
P-181	deeproot Funds Balance Sheet Dec. 31, 2016	SEC-FTI-E-0000046 - 48		X	None
P-182	deeproot Funds Balance Sheet Dec. 31, 2017	SEC-FTI-E-0000049 - 51		X	None
P-183	deeproot Funds Balance Sheet Dec. 31, 2019	SEC-FTI-E-0000056 - 61		X	None
P-184	deeproot Funds Balance Sheet Dec. 31, 2020	SEC-FTI-E-0000062 - 67		X	None
P-185	deeproot Funds Balance Sheet Oct. 14, 2021	SEC-FTI-E-0000068 - 73		X	None
P-186	deeproot Funds Balance Sheet Oct. 14, 2021	SEC-FTI-E-0000074 - 182		X	None
P-187	deeproot Funds Profit Loss Jan. 1 - Dec. 31, 2016	SEC-FTI-E-0000183		X	None
P-188	deeproot Funds Profit Loss Jan. 1 - Dec. 31, 2017	SEC-FTI-E-0000184		X	None
P-189	deeproot Funds Profit Loss Jan. 1 - Dec. 31, 2018	SEC-FTI-E-0000185		X	None
P-190	deeproot Funds Profit Loss Jan. 1 - Dec. 31, 2019	SEC-FTI-E-0000186		X	None
P-191	deeproot Funds Profit Loss Jan. 1 - Dec. 31, 2020	SEC-FTI-E-0000187		X	None
P-192	deeproot Funds Profit Loss Jan. 1, 2021 - Oct. 14, 2021	SEC-FTI-E-0000188		X	None
P-193	deeproot Funds Profit Loss Sept. 24, 2015 - Oct. 14, 2021	SEC-FTI-E-0000189		X	None
P-194	deeproot Funds Trial Balance Dec. 31, 2015	SEC-FTI-E-0000190		X	None
P-195	deeproot Funds Trial Balance Dec. 31, 2016	SEC-FTI-E-0000191 - 92		X	None
P-196	deeproot Funds Trial Balance Dec. 31, 2017	SEC-FTI-E-0000193 - 95		X	None
P-197	deeproot Funds Trial Balance Dec. 31, 2018	SEC-FTI-E-0000196 - 99		X	None
P-198	deeproot Funds Trial Balance Dec. 31, 2019	SEC-FTI-E-0000200 - 05		X	None
P-199	deeproot Funds Trial Balance Dec. 31, 2020	SEC-FTI-E-0000206 - 11		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-200	deeproot Funds Trial Balance Oct. 14, 2021	SEC-FTI-E-0000212 - 17		X	None
P-201	deeproot Studios Balance Sheet Dec. 31 2015	SEC-FTI-E-0000218		X	None
P-202	deeproot Studios Balance Sheet Dec. 31, 2016	SEC-FTI-E-0000219		X	None
P-203	deeproot Studios Balance Sheet Dec. 31, 2017	SEC-FTI-E-0000220		X	None
P-204	deeproot Studios Balance Sheet Dec. 31, 2018	SEC-FTI-E-0000221		X	None
P-205	deeproot Studios Balance Sheet Dec. 31, 2019	SEC-FTI-E-0000222		X	None
P-206	deeproot Studios Balance Sheet Dec. 31, 2020	SEC-FTI-E-0000223		X	None
P-207	deeproot Studios Balance Sheet Oct. 14, 2021	SEC-FTI-E-0000224		X	None
P-208	deeproot Studios General Ledger Sept. 24, 2015 - Oct. 14, 2021	SEC-FTI-E-0000225 - 34		X	None
P-209	deeproot Studios Profit Loss Jan. 1 - Dec. 31, 2016	SEC-FTI-E-0000235		X	None
P-210	deeproot Studios Profit Loss Jan. 1 - Dec. 31, 2017	SEC-FTI-E-0000236		X	None
P-211	deeproot Studios Profit Loss Jan. 1 - Dec. 31, 2018	SEC-FTI-E-0000237		X	None
P-212	deeproot Studios Profit Loss Jan. 1 - Dec. 31, 2019	SEC-FTI-E-0000238		X	None
P-213	deeproot Studios Profit Loss Jan. 1 - Dec. 31, 2020	SEC-FTI-E-0000239		X	None
P-214	deeproot Studios Profit Loss Jan. 1 - Oct. 14, 2021	SEC-FTI-E-0000240		X	None
P-215	deeproot Studios Profit Loss Sept. 24, 2015 - Oct. 14, 2021	SEC-FTI-E-0000241		X	None
P-216	deeproot Studios Trial Balance Dec. 31, 2016.	SEC-FTI-E-0000242		X	None
P-217	deeproot Studios Trial Balance Dec. 31 2017	SEC-FTI-E-0000243		X	None
P-218	deeproot Studios Trial Balance Dec. 31, 2018	SEC-FTI-E-0000244		X	None
P-219	deeproot Studios Trial Balance Dec. 31, 2019	SEC-FTI-E-0000245		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-220	deeproot Studios Trial Balance Dec. 31, 2020	SEC-FTI-E-0000246		X	None
P-221	deeproot Studios Trial Balance Oct. 14, 2021	SEC-FTI-E-0000247		X	None
P-222	deeproot Studios Trial Balance Sept. 24, 2015 - Dec. 31, 2015	SEC-FTI-E-0000248		X	None
P-223	Deeproot Tech Balance Sheet Dec. 31, 2015	SEC-FTI-E-0000249		X	None
P-224	Deeproot Tech Balance Sheet Dec. 31, 2016	SEC-FTI-E-0000250		X	None
P-225	Deeproot Tech Balance Sheet Dec. 31, 2017	SEC-FTI-E-0000251		X	None
P-226	Deeproot Tech Balance Sheet Dec. 31, 2018	SEC-FTI-E-0000252		X	None
P-227	Deeproot Tech Balance Sheet Dec. 31, 2019	SEC-FTI-E-0000253		X	None
P-228	Deeproot Tech Balance Sheet Dec. 31, 2020	SEC-FTI-E-0000254		X	None
P-229	Deeproot Tech Balance Sheet Oct. 14, 2021	SEC-FTI-E-0000255		X	None
P-230	deeproot Tech LLC - General Ledger Sept 24, 2015 – Oct. 14, 2021	SEC-FTI-E-0000256 - 362		X	None
P-231	Deeproot Tech Profit Loss Jan. 1 - Dec. 31, 2016	SEC-FTI-E-0000363		X	None
P-232	Deeproot Tech Profit Loss Jan. 1 - Dec. 31, 2017	SEC-FTI-E-0000364		X	None
P-233	Deeproot Tech Profit Loss Jan. 1 - Dec. 31, 2018	SEC-FTI-E-0000365		X	None
P-234	Deeproot Tech Profit Loss Jan. 1 - Dec. 31, 2019	SEC-FTI-E-0000366		X	None
P-235	Deeproot Tech Profit Loss Jan. 1 - Dec. 31, 2020	SEC-FTI-E-0000367		X	None
P-236	Deeproot Tech Profit Loss Jan. 1 - Oct. 14, 2021	SEC-FTI-E-0000368		X	None
P-237	Deeproot Tech Profit Loss Sept. 24 - Dec. 31, 2015	SEC-FTI-E-0000369		X	None
P-238	Deeproot Tech Trial Balance Dec. 31, 2016	SEC-FTI-E-0000370		X	None
P-239	deeproot Tech Trial Balance Dec. 31, 2017	SEC-FTI-E-0000371		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-240	deeproot Tech Trial Balance Dec. 31, 2018	SEC-FTI-E-0000372		X	None
P-241	deeproot Tech Trial Balance Dec. 31, 2019	SEC-FTI-E-0000373		X	None
P-242	deeproot Tech Trial Balance Dec. 31, 2020	SEC-FTI-E-0000374		X	None
P-243	deeproot Tech Trial Balance Oct. 14, 2021	SEC-FTI-E-0000375		X	None
P-244	Policy Services Balance Sheet Dec. 31, 2015	SEC-FTI-E-0000385 - 90		X	None
P-245	Policy Services Balance Sheet Dec. 31, 2016	SEC-FTI-E-0000391 - 96		X	None
P-246	Policy Services Balance Sheet Dec. 31, 2017	SEC-FTI-E-0000397 - 401		X	None
P-247	Policy Services Balance Sheet Dec. 31, 2018	SEC-FTI-E-0000402 - 06		X	None
P-248	Policy Services Balance Sheet Dec. 31, 2019	SEC-FTI-E-0000407 - 11		X	None
P-249	Policy Services Balance Sheet Dec. 31, 2020	SEC-FTI-E-0000412 - 16		X	None
P-250	Policy Services Balance Sheet Oct. 14, 2021	SEC-FTI-E-0000417 - 21		X	None
P-251	Policy Services General Ledger Sept. 24, 2015 - Oct. 14, 2021	SEC-FTI-E-0000422 - 535		X	None
P-252	Policy Services Profit Loss Jan. 1 - Dec. 31, 2016	SEC-FTI-E-0000536 - 37		X	None
P-253	Policy Services Profit Loss Jan. 1 - Dec. 31, 2017	SEC-FTI-E-0000538 - 39		X	None
P-254	Policy Services Profit Loss Jan. 1 - Dec. 31, 2018	SEC-FTI-E-0000540 - 41		X	None
P-255	Policy Services Profit Loss Jan. 1 - Dec. 31, 2019	SEC-FTI-E-0000542		X	None
P-256	Policy Services Profit Loss Jan. 1 - Dec. 31, 2020	SEC-FTI-E-0000543		X	None
P-257	Policy Services Profit Loss Jan. 1 - Oct. 14, 2021	SEC-FTI-E-0000544		X	None
P-258	Policy Services Profit Loss Sept. 24, 2015 - Dec. 31, 2015	SEC-FTI-E-0000545		X	None
P-259	Policy Services Trial Balance Dec. 31, 2016	SEC-FTI-E-0000546 - 50		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-260	Policy Services Trial Balance Dec. 31, 2017	SEC-FTI-E-0000551 - 55		X	None
P-261	Policy Services Trial Balance Dec. 31, 2018	SEC-FTI-E-0000556 - 60		X	None
P-262	Policy Services Trial Balance Dec. 31, 2019	SEC-FTI-E-0000561 - 65		X	None
P-263	Policy Services Trial Balance Dec. 31, 2020	SEC-FTI-E-0000566 -70		X	None
P-264	Policy Services Trial Balance Jan. 1 - Oct. 14, 2021	SEC-FTI-E-0000571 - 74		X	None
P-265	Policy Services Trial Balance Sept. 14 - Dec. 31, 2015	SEC-FTI-E-0000575 - 78		X	None
P-266	Kauai Vacation Rental Declaration	SEC-KVRRE-E-0000013		X	None
P-267	Kauai Vacation Rental Owner Statement June 30, 2017	SEC-KVRRE-E-0000014 - 15	X		None
P-268	Mueller Wire Receipt re 4330 Kauai Beach Dr. Nov. 14, 2016	SEC-ORTC-E-0000361 - 62		X	None
P-269	Mueller Wire Receipt re 4330 Kauai Beach Dr. Nov. 15, 2016	SEC-ORTC-E-0000363 - 64	X		None
P-270	Mueller Wire Receipt re 4330 Kauai Beach Dr. Nov. 2, 2016	SEC-ORTC-E-0000365 - 66		X	None
P-271	Final Settlement Statement Nov. 28, 2016	SEC-ORTC-E-0000410	X		None
P-272	Concilla email to Mueller attaching engagement letter Apr. 22, 2013	SEC-PulmanR-E-0000001, 04 - 05	X		None
P-273	Concilla email to Mueller re Follow up to Conference Call Apr. 22, 2013	SEC-PulmanR-E-0000013 - 17	X		None
P-274	Life Settlement Certificates	SEC-PulmanR-E-0000245 - 1038		X	None
P-275	Life Settlement Certificate, TX120034, Aug 9, 2012	SEC-PulmanR-E-0000819 - 22	X		None
P-276	Life Settlement Certificate, CA120009, Oct. 1, 2014	SEC-PulmanR-E-0000868 - 77	X		None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-277	AMEX Cancellation Letter to Mueller June 22, 2019	SEC-RFPA-AMEX-E-0001731		X	Rule 403
P-278	x32003 AMEX Statement July 16, 2019	SEC-RFPA-AMEX-E-0002620 - 27		X	Rule 403
P-279	x41003 AMEX Statement July 19, 2019	SEC-RFPA-AMEX-E-0002067 - 69		X	Rule 403
P-280	x51001 AMEX Statement July 9, 2019	SEC-RFPA-AMEX-E-0003373 - 75		X	None
P-281	Amex Statement x51001 May 9, 2016	SEC-RFPA-AMEX-E-0002851 - 57	X		None
P-282	Amex Statement x51001 Aug. 9, 2016	SEC-RFPA-AMEX-E-0002927 - 49	X		None
P-283	Amex Statement x51001 Mar. 9, 2017	SEC-RFPA-AMEX-E-0003067 - 79	X		None
P-284	Amex Statement x51001 Apr. 17, 2017	SEC-RFPA-AMEX-E-0003081 - 89	X		None
P-285	Amex Statement x51001 July 9, 2018	SEC-RFPA-AMEX-E-0003255 - 62	X		None
P-286	Amex Statement x51001 Apr. 8, 2019	SEC-RFPA-AMEX-E-0003351 - 59	X		None
P-287	x51001 Amex Statements	SEC-RFPA-AMEX-E-0002631 - 3375		X	None
P-288	x51001 Amex Payments	SEC-RFPA-AMEX-E-0001618 - 19		X	None
P-289	Amex Statement x51001 Mar. 9, 2016	SEC-RFPA-AMEX-E-0002809 - 29	X		None
P-290	x2385 Wells Fargo Wire Full Transaction Report Aug. 14, 2019	SEC-RFPA-WFB-E-0005707	X		None
P-291	x2385 Wells Fargo Wire Full Transaction Report Oct. 2, 2019	SEC-RFPA-WFB-E-0005736	X		None
P-292	x2385 Wells Fargo Statements May to July 2020	SEC-RFPA-WFP-E-0003761 - 4046		X	None
P-293	x2385 Wells Fargo Statements May 2020 to Feb. 2021	SEC-RFPA-WFP-E-0004610 - 35		X	None
P-294	x2385 Wells Fargo Statements June to Aug. 2021	SEC-DEEPROOT-E-0231718 - 24, 30-34		X	None
P-295	x2385 Wells Fargo Deposits May 2015 to July 2020	SEC-RFPA-WFP-E-0003616 - 745		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-296	x2385 Wells Fargo Deposits July 2020 to Feb. 2021	SEC-RFPA-WFB-E-0004559 - 69		X	None
P-297	x2385 Wells Fargo Wires Jan. 2014 to May 7, 2021	SEC-RFPA-WFB-E-0005387 - 914		X	None
P-298	x2534 Wells Fargo Muller Withdrawal Slip for \$100k Aug. 10, 2017	SEC-RFPA-WFB-E-0003535	X		Rule 403
P-299	x2534 Wells Fargo deeproot Funds Check 2356 to Kauai Vacation Rentals Mar. 3, 2017	SEC-RFPA-WFB-E-0003542	X		None
P-300	x2534 Wells Fargo Statements Apr. 15, 2015 to July 13, 2020	SEC-RFPA-WFB-E-0004047 - 275		X	None
P-301	x2534 Wells Fargo Statements July 13, 2020 to Mar. 11, 2021	SEC-RFPA-WFB-E-0005226 - 261		X	None
P-302	x2534 Wells Fargo Statements May 2021 to Aug. 2021	SEC-CORR-E-0000318 - 297		X	None
P-303	x2534 Wells Fargo Check Nov. 2020	SEC-RFPA-WFB-E-0005184		X	None
P-304	x2534 Wells Fargo Deposits May 2015 to July 2020	SEC-RFPA-WFB-E-0003746 - 49		X	None
P-305	x2534 Wells Fargo Checks/Debits May 2015 to July 2020	SEC-RFPA-WFB-E-0003495 - 615		X	None
P-306	x2534 Wells Fargo Wire May 19, 2014	SEC-RFPA-WFB-E-0005915		X	None
P-307	x2385 and x2534 Wells Fargo Signature Cards	SEC-RFPA-WFB-E-0003754 - 60		X	None
P-308	x3081 Wells Fargo Wires	SEC-RFPA-WFB-E-0005916 - 19		X	None
P-309	x3081 x3099 Wells Fargo Signature Card	SEC-RFPA-WFB-E-0001944 - 1948		X	None
P-310	x3081 Wells Fargo Statements May 2015 to July 2020	SEC-RFPA-WFB-E-0002175 - 2405		X	None
P-311	x3081 Wells Fargo Statements May 2021 to Aug. 2021	SEC-CORR-E-0000212 -15, 326-29, 205 - 08		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-312	x3081 Wells Fargo Statements July 2020 to Jan. 2021	SEC-RFPA-WFB-E-0004636 - 65		X	None
P-313	x3081 Wells Fargo Deposits July 2020 to Feb. 2021	SEC-RFPA-WFB-E-0004570 - 75		X	None
P-314	x3099 Wells Fargo Statements May 2021 to Aug. 2021	SEC-CORR-E-0000334 - 354		X	None
P-315	x5571 Wells Fargo Sig Card	SEC-RFPA-WFB-E-0004580 - 83		X	None
P-316	x5571 Wells Fargo Statements Feb. 2019 to Feb. 2021	SEC-RFPA-WFB-E-0005262 - 5365		X	None
P-317	x5571 Wells Fargo Statements May 2015 to Feb. 2021	SEC-RFPA-WFB-E-0004697 - 4951		X	None
P-318	x5571 Wells Fargo Statements May 2021 to Aug. 2021	SEC-CORR-E-0000270 - 73, 302 - 05, 245 - 249		X	None
P-319	x5571 Wells Fargo Wires	SEC-RFPA-WFB-E-0005173 - 75		X	None
P-320	x5571 Wells Fargo Wires	SEC-RFPA-WFB-E-0005924 - 28		X	None
P-321	x6415 Wells Fargo Signature Card	SEC-RFPA-WFB-E-0005222 - 25		X	None
P-322	x6415 Wells Fargo Statements May 2021 to Aug. 2021	SEC-DEEPROOT-E-0231746 - 49, 42 - 45, 37		X	None
P-323	x6575 Wells Fargo Sig Card	SEC-RFPA-WFB-E-0001963 - 66		X	None
P-324	x6575 Wells Fargo Statements Mar. 2017 to July 2020	SEC-RFPA-WFB-E-0002629 - 2807		X	None
P-325	x6575 Wells Fargo Statements May 2021 to Aug. 2021	SEC-DEEPROOT-E-0231738 - 41, 13 - 17, 25 - 28, 36		X	None
P-326	x6575 Wells Fargo Checks May 2017 to July 2020	SEC-RFPA-WFB-E-0001536 -650		X	None
P-327	x6575 Wells Fargo Checks July 2020 to Feb. 2021	SEC-RFPA-WFB-E-0004521 - 30		X	None
P-328	x6575 Wells Fargo Deposits Mar. 2017 to July 2020	SEC-RFPA-WFB-E-0001847 - 60		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-329	x6575 Wells Fargo Wires	SEC-RFPA-WFB-E-0005929 - 5960		X	None
P-330	x8461 x8487 Wells Fargo Signature Card	SEC-RFPA-WFB-E-0001938 - 1943		X	None
P-331	x8461 Wells Fargo Statements May 2015 to July 2020	SEC-RFPA-WFB-E-0002808 - 3094		X	None
P-332	x8461 Wells Fargo Statements May 2021 to Aug. 2021	SEC-RFPA-WFB-E-0231755 - 59, 50 - 53, 35		X	None
P-333	x8461 Wells Fargo Checks May 2015 to July 2020	SEC-RFPA-WFB-E-0001651 - 1828		X	None
P-334	x8461 Wells Fargo Checks July 2020 to Feb. 2021	SEC-RFPA-WFB-E-0004531 - 4558		X	None
P-335	x8461 Wells Fargo Deposits May 2015 to July 2020	SEC-RFPA-WFB-E-0001861 - 1927		X	None
P-336	x8461 Wells Fargo Wires	SEC-RFPA-WFB-E-0005961 - 64		X	None
P-337	x8487 Wells Fargo Wire Full Transaction Report Nov. 15, 2016	SEC-RFPA-WFB-E-0006003	X		None
P-338	x8487 Wells Fargo Wire Full Transaction Report Aug. 10, 2018	SEC-RFPA-WFB-E-0006045	X		None
P-339	x8487 Wells Fargo Statements May 2015 to July 2020	SEC-RFPA-WFB-E-0003095 - 3270		X	None
P-340	x8487 Wells Fargo Statements July 2020 to Feb. 2021	SEC-RFPA-WFB-E-0005022 - 37		X	None
P-341	x8487 Wells Fargo Statements May 2021 to Aug. 2021	SEC-CORR-E-0000209 - 221		X	None
P-342	x8487 Wells Fargo Deposits May 2015 to July 2020	SEC-RFPA-WFB-E-0001928 - 35		X	None
P-343	x8487 Wells Fargo Wires	SEC-RFPA-WFB-E-0005965 - 6086		X	None
P-344	x5571 Wells Fargo Deposits Feb. 2019 to Feb. 2021	SEC-RFPA-WFB-E-0005185 - 212		X	None
P-345	Abramson Declaration Sept. 7, 2022	SEC-SEC-E-00002717 - 20		X	None

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-346	Thompson Declaration Sept. 15, 2022	SEC-SEC-E-0002508		X	Hearsay; conclusory; lack of foundation; assumes facts not in evidence; Rule 702; improper legal conclusion; Rule 403
P-347	Tax Year 2015 Abramson Engagement Letter Jan. 22, 2016	SEC-SEC-E-0002702 - 05	X		None
P-348	Kane Declaration Sept. 23, 2022	SEC-SEC-E-0002906		X	Hearsay; conclusory; lack of foundation; assumes facts not in evidence; Rule 702; improper legal conclusion; Rule 403
P-349	Donnelly Declaration Oct. 18, 2022	SEC-SEC-E-0002984 - 87		X	Hearsay; conclusory; lack of foundation; assumes facts not in evidence; Rule 702; improper legal conclusion; Rule 403
P-350	deeproot Portal - Investors	SEC-SEC-E-0003162 - 3261		X	None
P-351	deeproot Portal - Policies Services - Closed Policies	SEC-SEC-E-0003262		X	None
P-352	deeproot Portal - Policies Services - Open Policies	SEC-SEC-E-0003265		X	None
P-353	Leon Declaration Sept. 14, 2022	SEC-SEC-E-0002496		X	Hearsay; conclusory; lack of foundation; assumes facts not in evidence; Rule 702; improper legal conclusion; Rule 403

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-354	Gray Declaration Oct. 9, 2022	SEC-SEC-E-0002959		X	Hearsay; conclusory; lack of foundation; assumes facts not in evidence; Rule 702; improper legal conclusion; Rule 403
P-355	Saint Mary's Hall Declaration	SEC-SMH-E-0000111		X	None
P-356	Saint Mary's Hall Transaction Records	SEC-SMH-E-0000026 - 52, 55-57, 59	X		None
P-357	Spradlin Memo to Mueller June 11, 2022	SEC-SpradlinN-E-0000123	X		None
P-358	Spradlin Resignation Letter July 9, 2020	SEC-SpradlinN-E-0000124	X		None
P-359	Spradlin Resume	SPRADLIN0001 - 02		X	None
P-360	x4336 x6407 x7059 USAA Records Feb. 2014 to Feb. 2021	SEC-USAA-E-0000030 - 1027		X	None
P-361 <sup>1</sup>	Amex Statement x51001 Sept. 7, 2018	SEC-RFPA-AMEX-E-0003273 - 82			None
P-362	Amex Statement x51001 Apr. 8, 2016	SEC-RFPA-AMEX-E-0002831 - 49			None
P-363 <sup>2</sup>	Robert Mueller Employee Earning Record	SEC-PAYCHEX-E-0000220 - 32			None
P-364	Summary: Investments in 575 Fund and dGRD Fund		X		None
P-365	Summary: Insurance Policies Purchased by Policy Services		X		None
P-366	Summary: Payments to Investors		X		Rule 403; see <i>Motion in Limine</i>

<sup>1</sup> Exhibits P-361 and P-362 were not separately marked as exhibits when the SEC filed its Exhibit List on October 6, 2023, but were included in P-287.

<sup>2</sup> Exhibit P-363 – P-375 were not included in the exhibit list the SEC filed on October 6, 2023.

Ex. No.	Description	Bates Label	Will Offer	May Offer	Defendant Objections
P-367	Summary: Payment of Mueller's Personal Expenses		X		Rule 403; see <i>Motion in Limine</i> <sup>3</sup>
P-368	Summary: Robert Mueller Compensation		X		Rule 403; see <i>Motion in Limine</i>
P-369	Secured Promissory Notes for Basha Policy, Nov. 1, 2018	SEC-DEEPROOT-E-0222177 - 83		X	None
P-370	Policy Relinquishment and Loan Satisfaction Agreement for Basha Policy, Apr. 8, 2019	SEC-DEEPROOT-E-0104324 - 34		X	None
P-371	Factright, 575 Fund Report, Mar. 1, 2018	SEC-FACTRIGHT-E-0000530-57		X	None
P-372	Factright, dGRD Fund Report, Mar. 1, 2018	SEC-FACTRIGHT-E-0000558-86		X	None
P-373	Factright, 575 Fund Report, Oct. 25, 2019	SEC-FACTRIGHT-E-0000587-615		X	None
P-374	Factright, dGRD Fund Report, Oct. 25, 2019	SEC-FACTRIGHT-E-0000616-644		X	None
P-375	Amended Answer, Dkt. No. 75			X	Hearsay

<sup>3</sup> Exhibit P-367 is being revised by the SEC in an attempt to resolve these objections. The parties will continue to confer regarding this exhibit once it is revised.